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09/27/01
JC987 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No. IN-5206

First Named Inventor Sunitha GRANDHEE

Filing Date Thursday, September 27, 2001

Title CARBOXY RESIN CROSSLINKERS

Express Mail Certificate E894923913US

APPLICATION ELEMENTS

See

MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

JC986 U.S. PTO
09/27/01

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 29]
-(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Application
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Background of the invention
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets]
5. ☒ Oath or Declaration [Total Sheets 02]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR § 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR§3.73(b) Statement (when there is an assignee) ☒ Power Of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/ PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically Itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 0 filed on

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below



26922

PATENT-TRADEMARK OFFICE

| | | | | | |
|-------------------|---------------------|-----------------------------------|--------------------|-----|----------------|
| Country | USA | Telephone | (248) 948-2021 | Fax | (248) 948-2093 |
| Name (print Type) | Anne Gerry Sabourin | Registration No. (Attorney Agent) | 33,772 | | |
| Signature | Anne G. Sabourin | Date | September 27, 2001 | | |

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997

| | |
|--------------------------|------------------------------|
| Attorney Docket No. | IN-5206 |
| First Named Inventor | Junitha GRANDHEE |
| Filing Date | Thursday, September 27, 2001 |
| Title | CARBOXY RESIN CROSSLINKERS |
| Express Mail Certificate | E894923913US |

TOTAL AMOUNT OF PAYMENT \$1,244.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number 23-3425

Deposit Account Name BASF Corporation

☒ Change Any Additional Fee ☒ Charge the Issue Fee Set in 37 CFR §
Required Under 37 CFR §§ 1.16 and 1.17 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code Entity Fee (\$)

| | | | |
|--------------|-----|------------------------|----------|
| 101 | 710 | Utility filing fee | \$710.00 |
| 106 | 330 | Design filing fee | |
| 107 | 540 | Plant filing fee | |
| 108 | 790 | Reissue filing fee | |
| 114 | 150 | Provisional filing fee | |
| SUBTOTAL (2) | | | \$710.00 |

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|-------------------------------|--------------|----------------|----------|
| Total Claims 43 - 20** = | 23 | 18 | \$414.00 |
| Independent Claims 04 - 3** = | 01 | 80 | \$ 80.00 |
| Multiple Dependent | | 270 | |

**Or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | |
|----------------|-----------------|---|
| 103 | 18 | Claims in excess of 20 |
| 102 | 80 | Independent claims in excess of 3 |
| 104 | 270 | Multiple dependent claims, if not paid |
| 109 | 82 | **Reissue independent claims over original patent |
| 110 | 22 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$494.00)

FEE CALCULATION

3. ADDITION FEES

| Large Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|---|----------|
| 105 | 130 | Surcharge -late filing fee or oath | |
| 127 | 50 | provisional ailing or cover sheet | |
| 139 | 130 | Non-English specification | |
| 147 | 2,520 | For filing request for examination | |
| 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840 | Requesting publication of SIR after Examiner action | |
| 115 | 110 | Extension for reply within first month | |
| 116 | 400 | Extension for reply within second month | |
| 117 | 890 | Extension for reply within third month | |
| 118 | 1,510 | Extension for reply within fourth month | |
| 128 | 2,060 | Extension for reply within fifth month | |
| 119 | 310 | Notice of Appeal | |
| 120 | 310 | Filing a brief in support of an appeal | |
| 121 | 270 | Request for oral hearing | |
| 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | Petition to revive-unavoidable | |
| 141 | 1,320 | Petition to revive-unintentional | |
| 142 | 1,320 | Utility issue fee (or reissue) | |
| 143 | 450 | Design issue fee | |
| 144 | 670 | Plant issue fee | |
| 122 | 130 | Petition to the Commissioner | |
| 123 | 50 | Petition related to provisional application | |
| 126 | 240 | Submission of information Disclosure Statement | |
| 581 | 40 | Recording each patent Assignment per property (time number of properties) | \$40. |
| 146 | 790 | Filing a submission after final rejection | |
| 149 | 790 | For each additional invention to be examined (37 CFR 1.129(b)) (specify) | |
| Other fee | | Reduced By Basic Fee Paid Filing | \$40. |

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| Name (print Type) | Anne Gerry Sabourin | | Registration No. (Attorney Agent) | 33,772 | |
| Signature | <i>Anne G. Sabourin</i> | | Date | September 27, 2001 | |

PATENT

(Practitioner's Docket No. IN-5206)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Sunitha GRANDHEE

US Serial No. Herewith

Filed: September 27, 2001

For: CARBOXY RESIN CROSSLINKERS

Group Art Unit: Not Assigned

Examiner: Not Assigned

Assistant Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EK894923913US

Date of Deposit

September 27, 2001

I hereby certify that the following attached paper or fee

☒

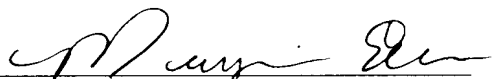
Application

Specification on 20 pages; claims on 08 pages;
the abstract on 01 page(s); drawings on page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) **be signed** and (2) **fully identify and be securely attached to the paper or fee it accompanies**. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

PATENT

(Practitioner's Docket No. IN-5206)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Sunitha GRANDHEE

US Serial No. Herewith

Filed: September 27, 2001

For: CARBOXY RESIN CROSSLINKERS

Group Art Unit: Not Assigned

Examiner: Not Assigned

Assistant Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EK894923913US

Date of Deposit

September 27, 2001

I hereby certify that the following attached paper or fee

☒

Combined Declaration and Power of Attorney on

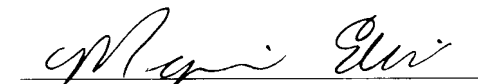
☐ 02

pages

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Marjorie Ellis

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Group Art Unit: Not Assigned

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Assistant Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EK894923913US Date of Deposit September 27, 2001

I hereby certify that the following attached paper or fee

| | |
|---|----------------------------------|
| X | Information Disclosure Statement |
| x | From PTO-1449 |
| x | Cited References |

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